

Annex to Certification Regulation



TÜV AUSTRIA Group – Business Assurance

KFM-002b, Rev.01

Certification Regulation for Fusion Welding of Metallic Materials

Standard or Certification scheme:

**EN ISO 3834-2:2021; EN ISO 3834-3:2021; EN ISO 3834-4:2021
EN ISO 3834-1:2021; EN ISO 3834-5:2021**

Accreditation Standard:

ISO 17021-1:2015; ISO 17065:2012; EA-6/02 M:2022

Certification Cycle:

The evaluation and certification of the welding capability of a manufacturer in accordance with the requirements of EN ISO 3834 Part 2, 3, or 4 can be provided:

- ✓ either as an integral part of EN ISO 9001 audit and certification (under ISO 17021 accreditation)
- ✓ or as a stand-alone audit and certification (under EN ISO/IEC 17065 accreditation).

Therefore, the certification cycle under ISO 17021, follows the three-year certification cycle of systems ISO 9001, while the certification cycle under ISO 17065 is up to three years.

To maintain the validity of the certificate, annual surveillance audits shall be carried out. Before the expiration date of the certificate, a recertification audit is conducted in order to renew the validity of the certificate for the next certification cycle.

The certification audit is carried out in two stages. Stage 1 may not be implemented at the customer premises. The interval between the two stages shall not exceed six months. If this period elapses or significant changes occur that affect the MS, stage 1 must be repeated. Stage 1 audit findings may lead to postponement or cancellation of Stage 2.

Audit planning and time table. Surveillance audit process has to be completed annually, with due date the date of the certification decision after the Initial Certification audit. Correspondingly the re-certification audit process has to be completed within the same time frame. Example for a three-year certification cycle:

Certification Decision	1 st Surveillance audit	2 nd Surveillance	Re-certification audit
15/7/2023	15/7/2024	15/7/2025	15/7/2026

In case of exceeding time limits certificate is suspended for six months and after this period finally withdrawn.

In recertification audits, a stage 1 may be conducted when there have been significant changes in the management system or in the framework within which it operates (eg changes in legislation), and the client.

Audit Evaluation Criteria / Characterization of Non Conformities:

- | | |
|--|---|
| 1: Full conformity | 3: Minor Non-Conformity(-ies): reviewed and accepted the client's plan for correction and corrective action |
| 2: Observation, the effectiveness of the corrective action is evaluated during the next evaluation | 4: Major Non-Conformity(-ies): Correction through submission of Documents or Re-audit |

OFI: Opportunities for Improvement: No further action is required by the client

Time allowed to close Non Conformities:

Certification Audit: 2 months after the completion of stage 2.
Surveillance Audit: 2 months after the date of the audit or no later than the due date of the Certification Decision
Recertification Audit: 2 months after the date of the audit or no later than the due date

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	of the Certification Decision
Contractual Duration:	<p>The duration of the service and the contractual obligation comes into force upon signature by both parties (TÜV AUSTRIA and Client Organization) and is valid for (3) three years of the relevant offer in cases of initial certification or re - certification.</p> <p>In case of Accredited Certification Transfer, the duration covers the validity period of the transferred certificate.</p> <p>In case of transition to a new version of the standard, the duration of contractual obligation is valid until the certification expiry date mentioned on the relative paragraph of the regulation.</p>
Communication to TAH	<p>The EN ISO 3834 certified manufacturer(s) shall notify TAH whenever any critical changes to the manufacturer's processes and/or products occur, e.g.:</p> <ul style="list-style-type: none">• changes in the scope and/or design of products manufactured,• changes in the application of or range of welding processes used,• changes in the grades of materials welded or notable increases in existing material thicknesses,• changes in welding coordinators or their authority,• changes in organisation and its management to control welding activities• performance in relation to achievement of delivery schedules,• performance in relation to extent and type of nonconformity• changes in regulatory requirements <p>If critical changes occur, TAH shall initiate suitable activities to verify continuing conformity with the EN ISO 3834.</p>
Use of certification marks	<p>EN ISO 3834 is not certification of the final product, and therefore use of marks on the product is not permitted. Any certification/declaration issued by the manufacturer must confirm which part of EN ISO 3834 has been applied.</p> <p>This does not prevent the certified manufacturer making a statement as being certified to EN ISO 3834 in accordance with EA/EWF guidelines.</p>